For Internal Use Only OMB Approval No. Submit 1 Original Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES Mail Processing SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New rities Product Pursuant to Rule 19b-4(e) Under the Security READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORMAL Initial Listing Report Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act 59,1934 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: New York Stock Exchange, LLC (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company Securities and Exchange Commission 3. Class of New Derivative Securities Product: Trading and Markets **Investment Company Unit** 4. Name of Underlying Instrument: OCT 222018 MVIS Global Video Gaming and eSports Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-based 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, Hong Kong, NASDAQ, Tokyo, Korea, Euronext Paris, Warsaw, Taiwan 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+2/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): 18010939 Not applicable. Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 AGI Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Section Rule October 19, 2018 Public Availability OCT 22 2018

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Part I

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Part II

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